

Mapping Self-Report Questionnaires for Socio-Emotional Characteristics:

What do they measure?

Ricardo Primi^{1 2}

Daniel Santos^{3 2}

Nelson Hauck Filho¹

Filip De Fruyt^{4 2}

Oliver P. John^{5 2}

¹ Post Graduate Program in Psychology, Universidade São Francisco, Itatiba, Brazil, ² EduLab21, Ayrton Senna Institute, São Paulo, Brasil, ³Faculty of Economics, Administration and Accounting of Ribeirão Preto, University of São Paulo, Ribeirão Preto, Brazil, ⁴Department of Developmental, Personality and Social Psychology, Ghent University, Gent, Belgium, ⁵ Department of Psychology, University of California, Berkeley, CA, United States.

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Correspondence should be addressed to Ricardo Primi, Universidade São Francisco, Laboratório de Avaliação Psicológica e Educacional [Laboratory of Psychological and Educational Assessment], Rua Alexandre Rodrigues Barbosa, 45, CEP 13251-900, Itatiba, São Paulo, Brazil; e-mail: rprimi@mac.com

Abstract

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Whereas the structure of individual differences in personal, social, and emotional attributes is well understood in adults, much less work has been done in children and adolescents. On the assessment side, numerous instruments are in use for children but they measure a wide array of seemingly different attributes, ranging from one single factor (self-esteem; grit) to three factors (social, emotional, and academic self-efficacy) to five factors (strength and difficulties; Big Five personality domains). The main goals of this research were to specify the major content domains that are assessed across multiple instruments in research in the US and Europe, to test them in a less developed contexts with considerable educational challenges, and to eventually construct a comprehensive measure for large-scale studies in Brazilian schools. We selected the 5 most promising instruments and studied their structure at the item level (N= 3,023). The resulting factor structure captured the major domains of child differences represented in these instruments and resembled the Big Five personality dimensions. Discussion focuses on the contribution to socio-emotional research in education and its measurement as well as on limitations and suggestions for future research.

Keywords: Response Styles, Big Five, Person Differential Functioning, Large-Scale Educational Assessment, 21st Century Skills, Socio-Emotional Learning

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Learning is a valuable resource for societies. It produces the secondary abilities, that is, secondary new brain systems of abilities formed from cultural needs via schooling (Geary, 2007). Learning is multi-determined phenomena. For instance, Carroll's (1963) model of school learning postulates that degree of learning is related to the extent students spend the amount of time needed to learn. He breaks down time needed as inversely related to cognitive ability and quality of instruction. Time spend is directly related to the opportunity to learn and socio-emotional factors such as desire to learn and perseverance - defined as the amount of time a learner is willing to be engaged actively in learning. This illustrates that learning is a complex product of cognitive, motivational, and socio-emotional factors as well as environmental socio-cultural factors (such as family and school, economy and culture), all of which can influence opportunities to learn and the quality of learning experiences.

Recent developments in the fields of education, economics, psychology, and neuroscience have emphasized that social-emotional skills (SE) are as important as cognitive measures in predicting not only learning but various important life outcomes (OECD, 2015). SE are also frequently referred to as 21st Century Skills, personal characteristics that are considered crucial for individual's life and work adaptation (Trilling & Fadel, 2009; Partnership for 21st Century Skills, 2015). The topic of socio emotional skills has become increasingly important for education and policy makers. Several frameworks have proposed definitions, measures, interventions and applications in educational systems. Table 1 lists seven important frameworks that define constructs and measures for SE (lines 6 to 10): Organization for Economic Cooperation and Development (OECD, 2016), Collaborative for Academic, Social and Emotional Learning (CASEL; 2012), California Office to Reform Education (CORE; 2014), CHICAGO consortium (Farrington et al., 2012), National Research Council (2012), Partnership for 21st Century Skills (www.p21.org), and Strive Task Force on Measuring Social and Emotional Learning (2013).

In the absence of a comprehensive and explicitly specified taxonomic framework, SEs have been operationalized by an amalgam of different constructs and measures as it is illustrated in Table 1. One potential problem is that different frameworks can use different “construct

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names” or measures that access one single psychological construct or propose one measure that comprises a complex mix of more than one psychological construct. The National Research Council (2012) describes this problem using the known term of “jangle fallacy” and points out that “today measurement experts continue to struggle with the question of whether various constructs represent different names for the same underlying psychological phenomenon or are truly different dimensions of human competence” (p. 25).

For instance, Marsh et al. (2006) reported a study of 14-scales measure of Students’ Approaches to Learning (SAL) assessing broad SE skills, such as self-regulated learning, motivational preferences, self-regulated cognitions, effort and persistence and learning preferences used in the Program for International Student Assessment (PISA) in 2000. Although 14 supposedly distinct SE skills were measured, some of them were highly overlapping (i.e., correlated), such as math self-concept and interest in math ($r = .86$), effort/persistence and self-efficacy ($r = .73$), or academic self-concept with self efficacy ($r = .72$). Such results illustrate the fact that presumably distinct SE skills being measured might be indicators of a smaller set of underlying constructs.

But what constructs? What taxonomic framework could shed light on the underlying variables of SE skills? In line with recent research in the literature (Kyllonen, Lipnevich, Burrus, & Roberts, 2014; National Research Council, 2012; Roberts, Martin, & Olaru, 2015) we propose that a socio-emotional Big Five model is a potentially rich and useful taxonomic framework to organize the conceptual space of SE skills and measures (John & De Fruyt, 2016; Primi, Santos, Fruyt, & John, in press). As De Raad and Schouwenburg (1996) suggested, individual differences can be broadly classified into systems of knowing (cognition), feeling (affection), and willing (motivation). Individual performance in schools and work is determined by capacities to perform (cognition) and willingness to perform (motivation and affection), as well by opportunities to perform, which are determined by environmental factors - family, school, social and socio-economic resources (Poropat, 2009). Thus, according to DeFruyt, Wille, & John (2015) and John & DeFruyt (2016), SE skills refers to consistent patterns of thoughts, feelings and behaviors specially those derived from the domains of motivation and feeling – reason why some historically researchers have used the term non-cognitive factors - that influence important socioeconomic outcomes throughout the individual’s life. Also SE skills are those traits that are

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potentially malleable and whose development is shaped by environmental factors, that is, formal and informal learning experiences.

This broad definition is borrowed from contemporary personality psychology and focused on those person attributes that can be taught and learned during the school years and that predict important outcome, both in school and later in life. By approximating the definition of SE skills with personality psychology, one could use the extensive knowledge about that Big Five taxonomic framework as a conceptual anchor space to help classify SE skills and measures. In the context of education, the Big Five domains organize a set of core themes of socio emotional functioning that allow us to locate distinct skills and anchor various conceptions and measures of SE skills in a integrated, multi-dimensional, conceptual space. The two first lines in Table 1 present these core themes for each of the five domains (i.e., each column): **C** involves Conscientious task oriented behavior that rely executive self-management; **N** involves regulation of Negative affect and emotions that afford resilience under stress; **O** involves aspect of Open-mindedness like imagination, intellect, autonomy, and interest in learning and change that challenge one's current understandings; **E** involves the expression of energy, power, and engagement (with others); and finally, **A** involves Amity, the perception and acceptance of others as allies, friends, and teammates, as loving and loveable, and thus the quality, depth, and closeness of interpersonal relationships (e.g., John & DeFruyt, 2016).

The Present Research

In Table 1 we also present a preliminary conceptually derived classification of where the diverse set of SE skills proposed by the seven frameworks can be located within the conceptual space of the socio-emotional Big Five. But to what extent can these five domains capture similarities and differences among these broad sets of SE skills measured by the most important classificatory frameworks and their assessment instruments? One possible way to test this taxonomic model is to perform structural analyses of a broad set of measures developed or used by each of these different frameworks, and to test whether the proposed classifications indeed holds.

The main objective of this research was to perform structural equation modeling to investigate the self-report SE skill measures that are commonly used in education research. Specifically, we asked how much of the variance in these measures is related to the Big Five

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domains. Our main hypothesis is that these measures, even though they have substantively different names and theoretical origins, are substantially related, at the latent level, to marker measures of the Big Five.

Method

Participants

Participants were 3,023 students who attended one of 86 classes within 16 schools; these schools were selected to represent typical levels of performance on standardized achievement in the State of Rio de Janeiro in Brazil. Students were in the 5th (N=697), 6th (N=710), 9th (N=674), 10th (N=488), and 12th (454) grades. They were recruited from two distinct educational systems in Brazil, one funded by the local municipality (student N=2,081) and the other funded by the state (N=942). The number of students who completed each of the instruments studied here (see list in Table 2 below) was as follows. Locus of Control: N=1026, BFI: N=927, SDQ: N=1055, Self-efficacy: N=1011, BFC: N=920, Grit: N=985, Rosenberg Self-esteem: N=602 (only 4-6th) and CORE Self-Evaluation: N=409 (only 10-12th).

Measures: Seven Self-report Questionnaires

The seven instruments included in this study can be found in Table 2 (for the present analyses, we used six of them, that is all except the BF-C). Santos and Primi (2014) carefully reviewed measures that assess social and emotional characteristics and were available in Brazil or could be translated into Portuguese. They included measures that satisfied four criteria (predictive power, feasibility, malleability and robustness). This provided a initial set of measures of personality traits, self-concepts, self-esteem, motivation, attitudes, and beliefs about the locus of control over events and personal outcomes (i.e., attributing control internally or externally), and socio-emotional adaptation issues.

Data Collection: Balanced Incomplete Block Design

In order to conduct factor analysis, it is necessary to estimate the full inter-scale correlation matrix. Ideally this is accomplished by having all student participants answer all items of all instruments. However, in practice, with 199 items, this is not feasible because diminished concentration and fatigue are likely to occur when children have to answer more than even 100 items.

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In order to obtain a full correlation matrix, a Balanced Incomplete Block design was employed (BIB; Sailer, 2005). We created booklets of two instruments for 5th and 6th grade students and three instruments for the 9th, 10th and 12th grades. We systematically produced combinations of instruments in booklets in a way to balance all pairwise combinations of the eight scales, such that each pair was included in at least one booklet, and instruments were equally distributed among students. These combinations generated 20 booklets for younger children and seven for older students. Each booklet had an average of 67 items.

At the time the data were collected in the classrooms, each student was administered one booklet. Booklets were systematically distributed within classes, always returning to the first booklet after the 20th student (younger children) or the 7th student (older children) had received a booklet. This spiraling strategy produced equivalent random samples of respondents for each booklet. Therefore, for each pair of items, we had a random sample of children allowing the calculation of the full inter-item correlation matrix. Considering a statistical power of 0.80 for the detection of correlations with a magnitude of 0.30 (that is, an 80% chance of detecting a correlation with a magnitude of 0.30 or more as being statistically significant), it was established that samples of 85 students would be necessary (Cohen, 1992) for each booklet of items. In the final sample the number of students answering each booklet ranged from 93 to 252, so final numbers were well beyond those indicated by the power analysis.

Adaptation of Instruments to Brazilian Context

Translation of items and adaptation of instruments aimed to adapt item content to the Brazilian target culture in a manner that preserved the intended relationships between indicators and construct (Borsa, Samásio, & Bandeira, 2012). To do so five steps were followed: (a) *Translation*: Two to four researchers prepared independent translations of the instruments. When a Portuguese version of an instrument already existed, this version was included as an additional translation. (b) *Sensitivity analysis*: Two groups of school administrators from the Rio de Janeiro state and the municipal education systems examined the items and the available translations to check their suitability for the target population and evaluate possible negative reactions to particular items by teachers, school directors, and parents. (c) *Consensus translation*: A final translation was produced summarizing and implementing all suggestions obtained in the previous phases. (d) *Pilot study*: A qualitative pilot study was conducted with 48 children from

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5th to 9th grades, divided into groups of four or five children each. In some sessions, students read the items with the help of researchers; in others, they just responded to the items and explained afterwards to the researchers how they interpreted the items. Researchers checked whether children understood the items and properly used the Likert five-point rating scale. They also evaluated whether the item content was suitable for the respective age groups and understandable within the socio-cultural experience of these Brazilians children. The information obtained from this careful piloting served to further review the items. (e) *Back translation*: After the revisions suggested during previous phases had been implemented, a final version of each instrument was prepared, translated back into English, and sent to the original authors for approval.

Length of Questionnaires in School-based Assessment of Socio-emotional Characteristics

Little is known about how many items children as young as 10 years can answer during a 40-minute period, within the usual 50-minute classroom teaching period (10 minutes were reserved for instructions and demographic and socio-economic background questions). To find out, a sample of 228 children (5th grade: N=60, 6th grade: N=42 and 10th grade: N=126) responded to the seven instruments (with their order randomly distributed) until the class period ended. They were told to answer items in the order in which they were listed in the booklet. Start and end times of each instrument were recorded for each individual student. While the students answered items, researchers timed the progress of the sessions and had students switch to a different-colored pen every ten minutes, thus enabling us to measure the number of items completed in ten minutes intervals. On average, students answered 4.1 items per minute but, as expected, we found substantial age differences: The youngest students (5th grade) answered only 2.4 items per minute and a subgroup of slower students answered even fewer (1.5 items per minute). In terms of the total number of items answered in 40 minutes, this pilot study suggested three conclusions: (a) the slowest younger children would answer about 60 items, (b) the average-speed younger children up to 94 items, and (c) the typical 7th and 8th graders up to about 160 items. Taking into account the slower students, the booklets were limited to 60 items for 5th graders, and to 120 items for 9th to 12th graders. These thresholds formed the constraints for the BIB design.

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Data Analyses

Our rationale was to first investigate whether the known 5-factor structure of the BFI (e.g., Soto, John, Gosling, & Potter, 2008) would replicate in a Brazilian sample of children and adolescents in public schools. If so, we could then regress latent SE skills variables on the BFI-based Big Five factors using Structural Equation Models (SEM). We approached both questions using item parcels as indicators because we were not interested in individual items per se and are aware of the extensive literature showing that item-level analysis of socio-emotional and personality measures tend to be fraught with error, such as acquiescence bias (e.g., Soto et al., 2008). BFI items were summed on the basis of their inter-correlations and observed internal consistency, so that each Big Five factor had three parcels as indicators. This strategy is called homogenous item composites (HIC) and selects items within a domain items that had similar level of endorsement and inter-item correlations producing homogenous and internally consistent subset of items.

As personality data tend to be complex and multidimensional in nature, we tested a constrained and an unconstrained model for the BFI as a means to establish the best measurement approach for the further analyses. In the fully constrained version, indicators were allowed to load only on its hypothesized factor (with no cross-loadings at all). This model was tested via Confirmatory Factor Analysis (CFA). In the unconstrained version, items were free to load on each one of the hypothesized five factors. This less prohibitive model was tested via Exploratory Structural Equation Modeling (ESEM). ESEM can be more suitable for multidimensional personality data where secondary item loadings are expected (Marsh et al., 2010), as is the case for cross-cultural analyses of BFI data (Cf. Benet-Martinez & John, 1998; Schmitt, Allik, McCrae, & Benet-Martinez, 2007). Accordingly, we hypothesized the ESEM model would provide a better fit to our data.

In a second step, we sought to map the SE skills measured by each of the other instruments onto the Big Five framework (as defined by the BFI analysis). To do so, a latent variable of each SE skill (again measured with item parcels) was regressed on the Big Five factors, connecting the two measurement models. By relying solely on latent variables for the regression analyses, we were able to model only the common, reliable variance of indicators of the SE skills and the Big Five. For instance, when modeling grit, three item parcels were used as

indicators of a grit latent factor, which was in turn regressed on the Big Five. Similarly, parcels were used for modeling the sub-dimensions of self-efficacy, self-esteem, and strengths and difficulties. This approach is illustrated in Figure 1. We expected at least one of the Big Five factors would be able to explain each SE skill—namely, one dimension of grit and of external locus of control, 3 sub-dimensions of self-efficacy, four sub-dimensions of CORE self-evaluation, and five sub-dimensions of strengths and difficulties.

Given the non-normal distribution of indicator variables, as revealed by an exploratory analysis of data, we employed a robust estimator for correcting the *chi-square* test value, namely Maximum Likelihood Robust (MLR). Models were tested using software Mplus 7.11 (Muthén & Muthén, 2014).

Results and Discussion

Testing the Big Five Factor Structure in Brazilian Public School Kids

In a first step, we tested the hypothesized five-factor structure of the BFI using HIC item parcels. Items were summed on the basis of their inter-correlations and observed internal consistency, so that each Big Five factor had three parcels as indicators. We fitted two models to the data, a fully constrained and an unconstrained model. In the constrained version, HICs were specified to load only on their hypothesized factor (no cross-loadings allowed). This model was tested via Confirmatory Factor Analysis (CFA). In the unconstrained version, items were free to load on each one of the hypothesized five factors. This less prohibitive model was tested via Exploratory Structural Equation Modeling (ESEM). Given the non-normal distribution of indicator variables as revealed by an exploratory analysis of data, in all analyses we employed a robust estimator for correcting the *qui-square* test value, namely Maximum Likelihood Robust (MLR).

The constrained CFA model fitted the data poorly, $\chi^2(80) = 445.91, p < .001, RMSEA = .070, CFI = .865, TLI = .823$, whereas the unconstrained ESEM achieved an excellent fit, $\chi^2(40) = 52.96, p = .082, RMSEA = .019, CFI = .995, TLI = .987$. However, when we examined the cross-loadings that presumably caused the fully constrained model to provide a poor fit to the data, we found that *all* the cross-loadings were small in size ($\leq .21$) when estimated in the ESEM solution. As shown in Table 3, this ESEM model was entirely consistent with the Big Five factors, as seen

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in Table 3. Factor inter-correlations ranged from almost null (.02) to more substantial in size (.50). Specifically, the A factor was more difficult to differentiate from C and N; all the other correlations remained below .32.

Linking the Big Five Factors to Socio-emotional Skills at the Scale Level

Next, we proceeded to the mapping of SE skills onto the Big Five factors via SEM, connecting the ESEM model of the BFI and the measurement model of each SE skill via linear regression. Results from the analyses are presented in Table 4.

As hypothesized, SE skills—grit, locus of control, self-efficacy, self-esteem, and strengths and difficulties—were linearly related to the Big Five personality factors. Accounted variance of SE skills by the Big Five factors ranged from a low of 12% for SDQ Peer Problems to 83% for Grit, with a mean R^2 of .50. Grit was strongly and uniquely associated with C, and r was estimated at .90, suggesting that Grit shared virtually all its reliable variance with the C factor.

As for locus of control, self-efficacy, self-evaluation, and strengths and difficulties, the analyses indicated a specific profile of Big Five factors as predictors of each SE skill. External locus of control was explained by both low E and low N (i.e., more effective Negative Emotion Regulation). The three self-efficacy scales were all related modestly (about .30) to O, consistent with the “can do” belief associated with all self-efficacy measures; however, each scale also showed a substantial correlation with one of the Big Five that differed by the domain in which self-efficacy beliefs were held: academic self-efficacy was highly related to C (.78), emotional self-efficacy to N (i.e., negative emotion regulation that is effective), and social self efficacy to the two interpersonal dimensions in the Big Five, primarily to E (.62) but also to A (.26).

The CORE elements of Self-evaluation represented various mixes of C, N, and E, which means that Brazilian school children with positive CORE self-evaluations tended to see themselves as dedicated and hardworking students (C) that regulate negative emotions well (N) and are able to engage at school, with their teachers and their peers.

The dimensions from the SDQ revealed a nuanced picture. Prosocial skills (the only non-problem on the SDQ) were related only to the two interpersonal Big Five factors, primarily A and to a lesser extent to E, whereas Peer problems were related solely to low E, indicating this scale captures shy and withdrawn kids who struggle with engaging with their peers and initiating

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and maintaining contact and friendships. We had expected Emotional Symptoms to be related substantially and primarily to ineffective regulation of negative emotions, and indeed that correlation was $-.78$; however, Emotional symptoms were also negatively related to low A, indicating that this scale measures emotional problems that interfere with emotional close and supportive relationships. The Hyperactivity scale, finally, measures a complex construct that involves three of the Big Five factors. As expected from work on ADHD (Nigg et al. 2002), it was related to both low C (inability to focus on tasks and school work and sustain attention) and low N (in effective regulation of negative emotion) as well as to high E, indicating the high levels energy that accompany hyperactivity in childhood. Overall, these relationships were conceptually meaningful and consistent with expectations.

Item-Level Analyses of the Multi-Dimensional Socio-emotional Scales

These findings revealed complex Big Five profiles for the three multi-dimensional instruments (i.e., self-efficacy, self-esteem and strengths and difficulties). It is possible that some of the socio-emotional scales (e.g., hyperactivity) have heterogeneous item content (i.e., contain a mix of items sampled from different Big Five) which creates their apparent multi-dimensional profile on the Big Five. Thus, we performed more in-depth, item-level analyses of the scales on these three instruments. To do so, we tested three ESEM models: a) an ESEM model regressing the self-efficacy items on the five factors measured by the BFI parcels, b) another ESEM model regressing the self-evaluation items on the five factors measured by the BFI parcels, and c) an ESEM model regressing the strengths and difficulties items on the five factors measured by the BFI parcels. The analyses were designed to test the extent to which the dimensions from the Big Five can explain the specific socio-emotional skills assessed by the items on these three inventories.

Results revealed a good approximate fit to the data for the model including items measuring self-efficacy, $\chi^2(280) = 319.77, p = .051, RMSEA = .009, CFI = .994, TLI = .983$, self-evaluation (CORE), $\chi^2(160) = 263.27, p < .001, RMSEA = .023, CFI = .971, TLI = .937$, and strengths and difficulties (SDQ), $\chi^2(290) = 440.02, p < .001, RMSEA = .017, CFI = .974, TLI = .930$. Tables 4, 5 and 6 present the standardized regression coefficients obtained from the analyses.

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Table 5 shows the items from the three self efficacy scales. Most *academic* self efficacy items were substantially related to Big Five C, conscientious self-management. Some of these items had cross-correlations or sole correlations on O, which seem to ask about the ability to tell other kids about one's dislikes. Social self efficacy items were associated either with E (addressing social skills useful for initiating contact or interacting with strangers) or with A (addressing social skills that result in harmonious and collaborative, rather than conflictual, interactions). Emotional self efficacy was mostly related to N (effective negative emotion regulation) but have had some associations with A. In general these items had unique and coherent links with the Big Five.

Table 6 shows items loadings of CORE self-evaluation test. Locus of control items were associated to effective N, O, and C. CORE-Neuroticism items mostly referred to feelings of sadness, worthlessness, and depression and thus were sensibly related to N but also low E. Some items had unexpectedly high links with O. Generalized self-efficacy items were most like the Academic self-efficacy items on the Self-efficacy scale for kids and thus related mainly to C. Items on self-esteem were related to C and E.

Table 7 shows how the SDQ items were associated with the Big Five. The Conduct problems items, as we expected, captured a range of different Big Five content, showing complex but coherent relations: “losing temper” with ineffective emotion regulation (low N) and high E, “obediently doing as I’m told” with high A, “fighting” with low A and low N, and “lying” low C. Most emotional symptoms items were, as expected, low on N (problems with negative-emotion regulation) but 3 items had unexpectedly strong links with A. Peer problems related mostly to low E and prosocial skills to high A.

Discussion and Conclusions

The present research tested whether a broad set of scales from six instruments commonly used to assess SE skills in educational settings could be mapped on the space of the socio-emotional Big Five.

We found that the Grit scale is mainly an expression of the theme of conscientious self-management. This is consistent with recent findings that empirically grit is the same trait as Conscientiousness, both genetically and phenotypically (Rimfeld, Kovas, Dale, & Plomin, 2016).

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Self-efficacy items ask subjects how well they can perform a diverse set of tasks or behaviors. Our analyses suggest that each task in these measures refers to a specific Big Five content domain. The “how well” part of the items refers to a general self-perception of being able to do something. Interestingly the content part, that is, the specific “something” where subjects report their confidence matched coherently to four of the five core themes of the Big Five: self management and task oriented behavior (as captured by C) linked to academic self efficacy items (ability to pay attention, to study, avoiding distractions, do homework). Social self-efficacy was about interpersonal relationships and so Engagement linked to social task like making friends, telling funny things to friends, and express opinions, whereas A was linked to social tasks that involved harmony and getting along (e.g., preventing quarrels). Negative emotion regulation was related to emotional self efficacy, where the task was to deal with internal, emotional challenges, like preventing becoming nervous, controlling feelings, or suppressing unpleasant thoughts.

It is interesting to know that the generalized self efficacy items of the CORE self-evaluation instrument mapped primarily onto conscientious self management and negative emotion regulation. The content of the items refers to these two core themes of the Big Five. Overall, the Big Five model accounted for a high proportion of the variance in the Grit and self efficacy scales (57% to 83%).

The constructs underlying CORE self esteem, Locus of Control, and SDQ were more complex representing mixed combination of the core themes. CORE self esteem is comprised of fundamental traits involved in the evaluation of the self: self-esteem, generalized self-efficacy, emotional stability and locus of control. We found that generalized self-efficacy was related to C (self-management) and N (negative emotion regulation). Locus of control was also related to self management. And self-esteem to engagement and self management. One conclusion is that the operationalization of self esteem on CORE is a mix of resilience as afforded by the effective regulation of negative emotion, self-management, and engagement (i.e., energy and positive emotions).

The Nowicki and Strickland (1973) scale to measure their version of locus of control was associated with low emotional regulation and low engagement. These results are different from the associations found on the subscale of the same-named construct in the CORE test. Locus of

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control refers to “belief that desired effects result from one’s own behavior rather than by fate or powerful others” (Ferris, Johnson, Rosen, & Tan, 2012, p. 83). Beliefs reflect knowledge inferred from experiences with particular types of events and thus provide an understanding about the way the world works, such as beliefs about control, about cognitive growth (i.e., growth mindset), and about the chances of succeeding on an undertaking or task (i.e., self-efficacy). Our initial hypothesis was that these control beliefs would be substantially influenced by the individual’s traits in the negative emotion regulation (N) domain (De Raad & Schouwenburg, 1996). However, this influence may be weaker than expected and these SE skills, particularly the beliefs of control and growth, are not that well represented within the socio-emotional Big Five.

Finally the SDQ is an instrument focused on adaptive versus non-adaptive constructs and has more scales related to the absence of relevant SE skills than their presence. Its scales were predicted by specific and coherent core themes: emotional symptoms were linked to low emotion regulation; hyperactivity symptoms to a combination of low self management, low emotion regulation, and high engagement (energy); prosocial skills to amity/collaboration and engagement; and finally peer problems to low engagement and conduct problems to low emotion regulation.

Overall, the approach taken here illustrates the power afforded by a consensual and replicable taxonomy of socio-emotional characteristics, such as the Big Five. New constructs and measures can be described, compared, and understood as combinations of elements that have long been studied and are well-understood, thus helping us progress as a unified discipline. This taxonomy can even be helpful when measures are applied in different cultural contexts, like public school children in Brazil. The present findings give us some hope that knowledge about socio-emotional characteristics gained in the West can be usefully applied to help solve the serious problems with education in Brazil.

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Table 1

Socio-emotional Big Five Model and Its Relationship to Six Important Frameworks for Socio-emotional Skills

	C: Conscientious self-Management	N: Negative emotion regulation	O: Open-mindedness	E: Engagement (Extra-version)	A: Amity (Kindness)
Socio-emotional Big Five model					
Core themes (De Raad & Shouwemburg, 1996)	task oriented behavior, will to achieve	affect and emotional regulation	imagination, intellect, autonomy	expression of energy and power	love, acceptance, peacefulness interpersonal relationships
Educational related features (Poropat, 2009 and De Raad & Shouwemburg, 1996)	will to achieve, sustained effort and goal setting, compliance with and concentration on homework, learning- related time management and effort regulation	self-efficacy, confidence, adaptive mastery-oriented style in the face of obstacles and negative emotionality, perceived self control over outcomes	desire for learning, intellectual drive and engagement, interest, idea production, creativity and originality	Energy and socialization that could facilitate and/or prevent learning	cooperation with learning processes, compliance with teacher instructions
Frameworks					
Organizational for Economic Co-operation and Development (OECD) Longitudinal Study of Skills Development in Cities	Achieving goals	Managing emotions		Working with others (engagement)	Working with others (getting along)
Colaborative for Academic, Social and Emotional Learning (CASEL) California Office to Reform Education (CORE)	Responsible decision-making, Self-management	Self-management	Self-awareness		Relationship skills, Social awareness
CHICAGO consorsium	Self-Management	Growth Mindset, Self-Efficacy		Social Skills	Social awareness
	Academic perseverance, learning strategies, academic	Academic mindset			Social Skills

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	C: Conscientious self-Management	N: Negative emotion regulation	O: Open-mindedness	E: Engagement (Extra-version)	A: Amity (Kindness)
	behaviours				
National Research Council Review	Intra-personal: work ethic/conscientiousness Type 1 self-regulation (metacognitive skills, including forethought, performance, and self-reflection)	Intra-personal: Positive Core Self-Evaluation Type 2 self-regulation (self-monitoring, self-evaluation, self-reinforcement)	Intra-personal: intellectual openness	Inter-personal: leadership	Inter-personal: teamwork and collaboration
Partnership for 21st Century Skills	Initiative and Self-Direction, Productivity and Accountability, Responsibility	Flexibility and Adaptability	Flexibility	Leadership, Initiative	Social and Cross-Cultural Skills
Strive Together Network	Academic self-efficacy, Grit/perseverance, Self-regulated learning/ study skills	Growth mindset/ mastery orientation, Emotional competence			

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Table 2

Selected Instruments: Names, Abbreviations, Number of Items and Scales, and Scale Names

Instrument	Items	Scales	Names of scales
Locus of Control Scale Nowicki & Strickland (1973)	21	1	Locus of control
Strengths and Difficulties Questionnaire (SDQ) Goodman (1997)	25	5	Emotional symptoms, Conduct problems, Hyperactivity, Peer problems, Prosocial skills
Big Five Inventory (BFI) John et al. (1991)	44	5	Extraversion, Agreeableness, Conscientiousness, Neuroticism, and Openness
Self-Efficacy Questionnaire for Children (SEQ-C) Muris (2001)	24	3	Academic, Social, and Emotional self-efficacy
Big Five for Children (BF-C) Barbaranelli et al. (2003)	65	5	Neuroticism, Extraversion, Openness, Agreeableness, Conscientiousness
Core Self-Evaluations (CORE) Judge et al. (2003)	12	1	Positive vs. negative self-evaluation (combined self-esteem, generalized self-efficacy, locus of control, low neuroticism)
Grit Scale Duckworth & Quinn (2009)	8	1	Grit
Total	199	20	16

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Table 3

Confirmatory Factor Analysis of the BFI Using Item Parcels

Item parcel	E	A	C	N	O
E_1	.74*	-.10	-.18*	-.00	.03
E_2	.60*	.13	.00	-.05	.08
E_3	.48*	.16	.08	.07	-.07
A_1	-.12	.56*	-.04	.10	.06
A_2	-.00	.70*	.01	-.11	.04
A_3	.20*	.53*	.05	.06	-.19*
C_1	-.05	.02	.67*	-.03	.20*
C_2	-.02	-.02	.71*	.15*	-.06
C_3	.09*	.01	.65*	-.01	.21*
N_1	-.19	.07	.03	.76*	-.01
	*				
N_2	.05	-.08	-.07	.64*	.01
N_3	.11	.12	.08	.40*	.06
O_1	.05	.09	-.02	.00	.62*
O_2	-.02	.01	.04	-.05	.53*
O_3	.02	-.02	.04	.07	.79*
Factor inter-correlations					
E	—	.08	.02	.07	.31*
A		—	.49*	.50*	.30
C			—	.30*	.25*
N				—	-.08
O					—

Note. Factor loadings $\geq .40$ are boldfaced. E = Extraversion, A = Agreeableness, C = Conscientiousness, N = Negative Emotion Regulation, O = Open-mindedness. * $p < .05$.

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Table 4

Mapping of Socio-emotional Variables from Major Instruments onto the Big Five Factors

Tests mapped	E	A	C	N	O	<i>R</i> ²
1. Grit						
Grit	0.01	0.03	.90*	0.05	−.09	.83
2. Locus						
External Locus	−0.28*	−0.01	−0.20	−0.41*	−0.20	.45
3. Self-efficacy						
Achievement	.00	−.04	.78*	−.13	.37*	.82
Emotional	.24*	.03	.07	.57*	.27*	.57
Social	.62*	.26*	−.07	.12	.30*	.78
4. Self-evaluation (CORE)						
S-Eff	0.08	−.10	.56*	.24*	0.18	.47
LC	0.14	−.17	.47*	0.05	0.05	.21
N	.32*	−.09	0.03	.56*	−.33*	.50
SE	.39*	−.19	.29*	0.24	−.22	.28
5. Strengths and Difficulties (SDQ)						
Conduct problems	0.16	−.28	−.15	−.32*	0.1	.37
Emot. symptoms	−.12	.51*	−.14	−.73*	−.05	.46
Hyperactivity	.30*	0.21	−.49*	−.42*	−.12	.47
Peer Problems	−.26*	−.12	−.07	−.10	0.19	.12
Prosocial Skills	.22*	.58*	0.15	−.30	0.05	.43

Note. E = Engagement, A = Amity, S self management (C Conscientiousness), ER emotional resilience (Em = Emotional Stability), O = Openness, AC = Academic Self-Efficacy, EM = Emotional Self-Efficacy, SC = Social Self-Efficacy, S-Eff= generalized self efficacy, LC = locus of control, N = neuroticism , SE = self esteem; CP = Conduct Problems, ES = Emotional Symptoms, HA = Hyperactivity, PP = Peer Problems, PS = Pro-Sociality. **p* < .05. ** Additionally, we tested a model in which a general factor of self-esteem, measured by item parcels (GF, GS, LC, N and SE), was regressed on the Big Five factors. The model had a good approximate fit to the data, $\chi^2(97) = 204.86$, *p* < .001, RMSEA = .030, CFI = .965, TLI = .938, and the standardized regression coefficients were: .48 (*p* < .05) for E, −.24 (*p* > .05) for A, .53 (*p* < .05) for C, .48 (*p* < .05) for Em, and −.22 (*p* > .05) for O. This general factor of self-esteem had 72% of variance accounted for by the Big Five factors.

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Table 5

ESEM Regression Model: Using the Big Five Factors to Predict Self-Efficacy Items in Three Domains: Achievement (AC), Social (Soc), and Emotional (EM)

Item	Scale	E	A	C	N	O
T5_01Ac1 How well can you get teachers to help you when you get stuck on schoolwork? (Asstv)	AC	.01	.01	.12	-.17	37*
T5_02Ac1 How well can you study when there are other interesting things to do?	AC	-.03	-.05	58*	-.08	.19*
T5_04Ac1 How well do you succeed in finishing all your homework every day?	AC	.01	-.11	54*	-.22	-.09
T5_05Ac1 How well can you pay attention during every class?	AC	-.08	-.03	52*	.03	.18
T5_07Ac1 How well do you succeed in satisfying your parents with your schoolwork?	AC	.07	.00	50*	-.09	.10
T5_03Ac1 How well can you study a chapter for a test?	AC	-.08	-.11	38*	.00	25*
T5_08Ac1 How well do you succeed in passing a test?	AC	.03	.02	.28*	-.03	30*
T5_06Ac1 How well do you succeed in passing all subjects?	AC	.04	-.05	.19	.06	.13
T5_10Sc1 How well can you become friends with other children?	Soc	.50*	.10	.05	.07	-.02
T5_14Sc1 How well can you tell a funny event to a group of children?	Soc	.48*	-.01	-.13	.23*	.23*
T5_15Sc1 How well do you succeed in staying friends with other children?	Soc	.41*	.24	.01	.00	.05
T5_11Sc1 How well can you have a chat with an unfamiliar person?	Soc	.28*	-.02	-.08	.06	.10
T5_09Sc1 How well can you express your opinions when other classmates disagree with you? (Asstv)	Soc	.21*	-.14	.03	.18	44*
T5_13Sc1 How well can you tell other children that they are doing something that you don't like? (Asstv)	Soc	.19*	.00	.02	.02	21*
T5_12Sc1 How well can you work in harmony with your classmates? (A)	Soc	.16*	.47*	.08	-.12	.09
T5_16Sc1 How well do you succeed in preventing quarrels with other children? (A)	Soc	-.04	.40*	-.12	-.04	-.01
T5_20Em1 How well can you control your feelings?	EM	-.21*	-.27*	.04	59*	.32*
T5_19Em1 How well can you prevent to become nervous?	EM	-.04	.09	-.13	46*	.02
T5_18Em1 How well do you succeed in becoming calm again when you are very scared?	EM	.02	.06	-.03	25*	.20*

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T5_23Em1 How well do you succeed in suppressing unpleasant thoughts?	EM	.18*	.02	.03	.25*	.10
T5_24Em1 How well do you succeed in not worrying about things that might happen	EM	.13	-.23	.06	.24*	.11
T5_21Em1 How well can you give yourself a pep talk when you feel low?	EM	.08	.09	.14	.09	.17
T5_22Em1 How well can you tell a friend that you don't feel well?	EM	.21*	.14	.07	-.11	-.01

Note. E = Engagement, A = Amity, C=Conscientious self-management, N=Negative emotion regulation; O = Open-mindedness * $p < .05$.

Table 6

ESEM Model Regressing Self-Esteem (CORE) Items onto the Big Five Factors

Item	Scale	E	A	C	N	O
6. Sometimes, I do not feel in control of my work.	LC	-.11	.01	.49*	-.18	.31*
9. I determine what will happen in my life.	LC	.05	-.28	.18	.29*	.27*
10. I do not feel in control of my success in my career.	LC	-.12	.07	-.23	.10	-.11
2. Sometimes I feel depressed.	N	-.24*	.13	.27*	-.35*	.39*
4. Sometimes when I fail I feel worthless.	N	-.13	.22	.19	-.46*	.06
12. There are times when things look pretty bleak and hopeless to me.	N	-.32*	-.05	-.12	-.42*	.26*
3. When I try, I generally succeed.	SEf	.09	-.18	.44*	.18	.10
5. I complete tasks successfully.	SEf	-.04	-.09	.68*	.09	.16
11. I am capable of coping with most of my problems.	SEf	.10	.09	.09	.21	.19
1. I am confident I get the success I deserve in life.	SE	.15	-.28	.28*	.30	-.02
7. Overall, I am satisfied with myself.	SE	.28*	-.01	.27	.14	-.17
8. I am filled with doubts about my competence.	SE	-.34*	.18	-.23	-.08	.25

Note. E = Engagement, A = Amity, C=Conscientious self-management, N=Negative emotion regulation; O = Open-mindedness * $p < .05$.

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Table 7

ESEM Model Regressing SDQ Items onto the Big Five Factors

Item	Factor	E	A	C	N	O
5. I get very angry and often lose my temper.	CP	.22*	-.17	.06	-.55*	-.03
7. I usually do as I am told.	CP	.05	.33*	.19*	-.18	-.18
12. I fight a lot. I can make other people do what I want.	CP	.15	-.25	.08	-.36*	.09
18. I am often accused of lying or cheating.	CP	.09	.03	.25*	-.17	-.01
22. I take things that are not mine from home, school or elsewhere.	CP	.01	-.12	-.06	.03	-.05
8. I worry a lot.	ES	.00	.24	.20	-.39*	.06
3. I get a lot of headaches, stomach aches or sickness.	ES	-.11	.09	-.06	-.26*	-.04
13. I am often unhappy, down-hearted or tearful.	ES	-.11	.05	.09	-.41*	.02
16. I am nervous in new situations. I easily lose confidence.	ES	-.13	.33*	.25*	-.52*	-.08
24. I have many fears, I am easily scared.	ES	-.03	.44*	-.11	-.53	.14
2. I am restless, I cannot stay still for long.	HA	.49*	.03	-.17	-.25*	-.04
10. I am constantly fidgeting or squirming.	HA	.17*	.21	-.08	-.39*	-.13
15. I am easily distracted, I find it difficult to concentrate.	HA	.00	.26*	.47*	-.37*	-.04
21. I think before I do things.	HA	.17*	.05	.29*	.07	.16
25. I finish the work I'm doing. My attention is good.	HA	.03	-.07	.43*	.16	.11
6. I am usually on my own. I generally play alone or keep to myself.	PP	-.33*	.00	-.10	-.09	.10
11. I have one good friend or more.	PP	.15*	-.04	.11	-.09	-.05
14. Other people my age generally like me.	PP	.21*	.27*	.06	-.06	-.05
19. Other children or young people pick on me or bully me.	PP	.15	-.10	.06	-.23	-.06
23. I get on better with adults than with people my own age.	PP	-.15	-.20	.12	-.07	.29*

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1. I try to be nice to other people. I care about their feelings.	PS	-.03	.52*	-.06	-.14	.22*
4. I usually share with others (food, games, pens etc.).	PS	.11	.37*	.05	-.09	-.11
9. I am helpful if someone is hurt, upset or feeling ill.	PS	.18*	.25*	-.07	.21*	-.13
17. I am kind to younger children.	PS	.18*	.25	.17	-.10	-.13
20. I often volunteer to help others (parents, teachers, children).	PS	.07	.34*	.20*	-.29*	.13

Note. E = Engagement, A = Amity, C=Conscientious self-management, N=Negative emotion regulation; O = Open-mindedness * $p < .05$.

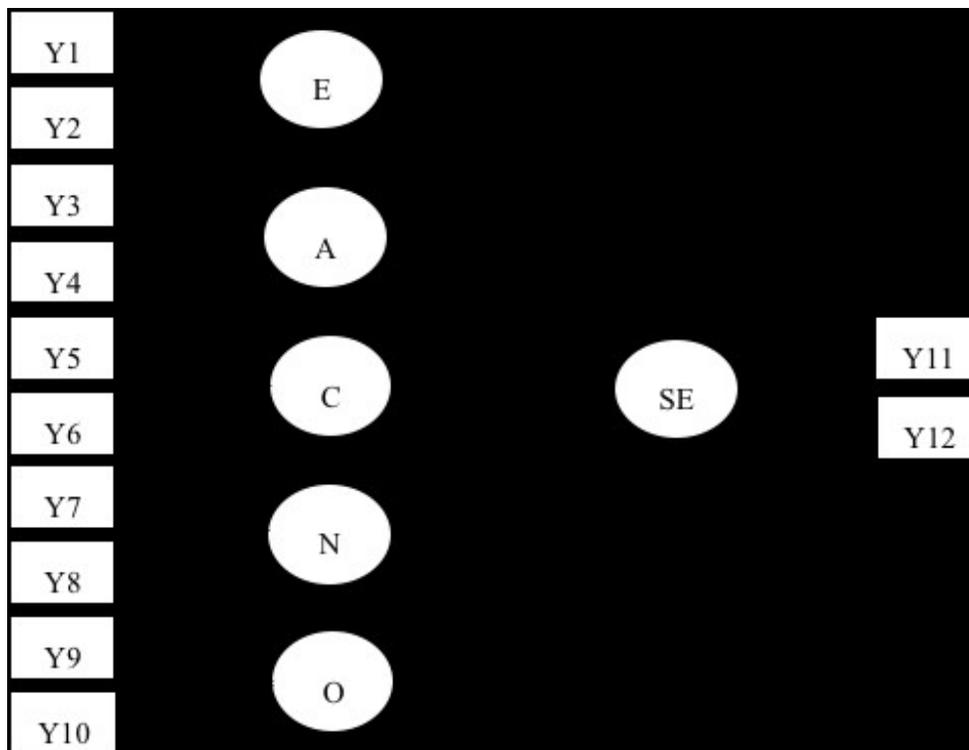


Figure 1. Structural Equation Models of interest. SE (socioemotional) latent variables measured by item parcels are regressed on the Big Five variables. For the sake of simplicity, the diagram depicts only two indicators (item parcels) per latent variable, and it suppresses error terms.